



IAP15 Rec'd PCT/PTO 26 OCT 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q87387

Nam-Du KIM, et al.

Appln. No.: 10/531,299

Group Art Unit: 1624

Confirmation No.: 7860

Examiner: Cecilia M. JAISLE

Filed: April 14, 2005

For: METHOD FOR PREPARING RISPERIDONE

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the document which is listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. U.S. Patent No. 4,485,107 published November 27, 1984 to Kennis et al.

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R. § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p) is attached.

10/27/2006 SZEWDIE1 00000043 10531299

01 FC:1806

180.00 OP

Nam-Du KIM, *et al.*
U.S. Appln. No.: 10/531,299
INFORMATION DISCLOSURE STATEMENT

The identification of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



Sunhee Lee
Registration No. 53,892

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: October 26, 2006

<p>The PTO did not receive the following listed item(s) _____</p> <p>NO Cheque SP _____</p>

Complete if Known

(use as many sheets as necessary)

Application Number	10/531,299
Confirmation Number	7860
Filing Date	April 14, 2005
First Named Inventor	Nam-Du KIM
Art Unit	1624
Examiner Name	Cecilia M. JAISLE
Attorney Docket Number	Q87387

Sheet	1	of	1
-------	---	----	---

[illegible][illegible][illegible]

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.